

# RECORDINGS:

## 2010 CLOSED-END FUND CONFERENCE

### CONFERENCE OVERVIEW

The Investment Company Institute's 2010 Closed-End Fund Conference discussed developments in the federal securities laws and what they mean for funds and their boards; the effect of new accounting requirements on closed-end funds; the SEC's staff perspective on the effect of new examination and enforcement priorities on closed-end funds; and developments in the use of leverage by closed-end funds.

### CONFERENCE MATERIAL

ITEM #	<u>MP3 COMPUTER CD</u>
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### CONFERENCE RECORDINGS

#### **Welcome and Introduction**

Keith A. Weller  
Executive Director and Senior Associate  
General Counsel  
UBS Global Asset Management Inc.

Paul S. Atkins  
Chief Executive Officer  
Patomak Partners

#### **Corporate Governance and Closed-End Funds**

Jack Murphy  
Partner  
Dechert LLP

James Duffy  
Consultant  
NYSE Euronext

Cecilia Gondor  
Executive Vice President  
Thomas J. Herzfeld Advisors, Inc.

Patrick McGurn  
Special Counsel  
Institutional Shareholder Services

#### **Regulatory Policy: Recent Trends and Future Outlook**

Rose DiMartino  
Partner  
Willkie Farr & Gallagher LLP

Susan Coté  
Partner  
Ernst & Young LLP

James Curtis  
Branch Chief  
U.S. Securities and Exchange Commission

Gene Gohlke  
Associate Director  
U.S. Securities and Exchange Commission

#### **Luncheon**

Donald C. Auerbach  
Chief Government Affairs Officer  
Investment Company Institute

#### **Year in Review: Key Developments in Closed-End Fund Market**

Brian Reid  
Chief Economist  
Investment Company Institute

Mariana Bush  
Senior Vice President  
Wells Fargo Advisors

Martin Duffy  
Vice President, Senior Credit Officer  
Moody's Investors Service

Todd Reit  
Managing Director, Head of Asset  
Management, North America  
UBS Investment Bank

Mike Taggart  
Closed-End Fund Strategist  
Morningstar

#### **Perspective: Institutional Closed-End Fund Shareholders**

Kenneth Fincher  
Senior Vice President  
First Trust Advisors

Phillip Goldstein  
Principal  
Bulldog Investors

Stephen A. O'Neil  
Portfolio Manager  
Rivernorth Capital Management, LLC

Eric S. Purple  
Partner  
K&L Gates LLP

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